

AD A108806

HDL-CR-81-100-1

July 1981

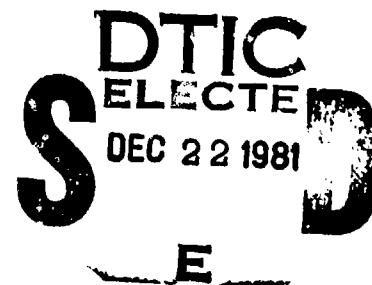
LEVEL II

(12)

Numerical Deconvolution to Obtain Cloud Signatures
from Scattered Light Pulses

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Under contract DAAG 29-76-D-0100



U.S. Army Electronics Research
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Harry Diamond Laboratories
Adelphi, MD 20783

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TO: Recipients of HDL-CR-81-100-1

Please show the following corrections in subject report.

Page 8, paragraph 2, line 9

$C(x_i) = 0.15 \text{ m}$ should read $C(x_i = 0.15 \text{ m})$

Page 8, paragraph 2, line 11

$C(x)$ should read $C(x_i)$

Page 9, line 3

$C(x_{100}) = 15 \text{ m}$ should read $C(x_{100} = 15 \text{ m})$

FOR THE COMMANDER:

A handwritten signature in black ink, appearing to read "Nedra H. McNeill".

NEDRA H. MCNEIL
Acting Chief,
Technical Reports Branch

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REPORT DOCUMENTATION PAGE		READ INSTRUCTIONS BEFORE COMPLETING FORM
1. REPORT NUMBER HDL-CR-81-100-1	2. GOVT ACCESSION NO. AD-A108 806	3. RECIPIENT'S CATALOG NUMBER
4. TITLE (and Subtitle) Numerical Deconvolution to Obtain Cloud Signatures from Scattered Light Pulses	5. TYPE OF REPORT & PERIOD COVERED Contractor's Report	
7. AUTHOR(s) James M. Supplee HDL Monitor: Dennis W. McGuire	6. CONTRACT OR GRANT NUMBER(s) DAAG 29-76-D-0100	
9. PERFORMING ORGANIZATION NAME AND ADDRESS Battelle Columbus Laboratories 505 King Avenue Columbus, OH 43201	10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS Pro. El.: AIF	
11. CONTROLLING OFFICE NAME AND ADDRESS Harry Diamond Laboratories 2800 Powder Mill Road Adelphi, MD 20783	12. REPORT DATE July 1981	
14. MONITORING AGENCY NAME & ADDRESS (if different from Controlling Office) U.S. Army Armaments Research and Development Command Dover, NJ 07801	13. NUMBER OF PAGES 48	
16. DISTRIBUTION STATEMENT (of this Report) Approved for public release; distribution unlimited.	15. SECURITY CLASS. (of this report) UNCLASSIFIED	
17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different from Report)	18a. DECLASSIFICATION/DOWNGRADING SCHEDULE	
18. SUPPLEMENTARY NOTES HDL Project: A18032 DRCMS Code: 36KA5000204 DA Project: 161507900GK4		
19. KEY WORDS (Continue on reverse side if necessary and identify by block number) Deconvolution Cloud scattering optical		
20. ABSTRACT (Continue on reverse side if necessary and identify by block number) The optical return pulse resulting from light reflected from a cloud is given by a convolution of the transmitted pulse with the system range response and the cloud signature. To calculate the cloud signature when everything else is known requires a deconvolution. Since this deconvolution enhances noise, it is very difficult to get better resolution in the cloud signature than the 1 to 2 m that is immediately available by dividing		

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20. ABSTRACT (Cont'd)

the return pulse by the range response. (This relatively good resolution is available in this way because the transmitted pulse is fairly short, being about 6 ns FWHM.) It is shown that modestly improved resolution is possible if the signal to noise ratio in the return pulse is greater than about 100 to 1.

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1. PROBLEM

Optical pulses that result from the reflection of GaAs laser pulses from water clouds have been measured. The amplitude of the return pulse as a function of time, $V(t)$, depends on the transmitted laser pulse, $P(t)$; the overall system sensitivity as a function of distance (range response) $R(x)$; and the optical properties of the cloud. For the present purpose, the properties of the cloud along the pencil beam path of the optical probe can be described by a single function, $C(x)$, the cloud signature.^{1,2} Light arriving at the detector at time t must have been transmitted at an earlier time, $t - \tau$ ($\tau > 0$). τ is the time that it takes light at speed c to travel from the transmitter to the point of reflection (distance = x) and then back to the detector, which is near the transmitter. Therefore,

$$\tau = 2x/c . \quad (1)$$

All pairs of τ and x such that $\tau > 0$ and equation (1) holds will contribute to the return at time t , with the contribution weighted according to the system range response at the distance x and the cloud signature at that location. Therefore, the total return is given by the convolution

$$V(t) = K \int_0^{\infty} P(t - \tau) R(x) C(x) d\tau , \quad x = ct/2 , \quad (2)$$

where K is for normalization.

The purpose of this study is to investigate a method for finding $C(x)$ given $V(t)$, $P(t)$, and $R(x)$. $P(t)$ and $R(x)$ are constant functions of the system. $V(t)$ has been measured for a large number of pulses.

One method for solving for $C(x)$ in equation (2) is as follows.² Using the notation of McGuire,² let

$$h(t) = K \cdot C(ct/2) \cdot R(ct/2) . \quad (3)$$

Now, to consider equation (2) numerically, let

$$t = n \cdot \Delta t , \quad \tau = i \cdot \Delta t .$$

¹H. H. Burroughs, Computation of Cloud Backscatter Power as a Function of Time for an Active Optical Radar (U), Naval Weapons Center NWC TP 5090 (April 1971). (CONFIDENTIAL)

²Dennis McGuire and Michael Conner, The Deconvolution of Aerosol Backscattered Optical Pulses to Obtain System-Independent Aerosol Signatures, Harry Diamond Laboratories HDL-TR-1944 (June 1981).

The integral then becomes a sum:

$$V(n\Delta t) = \Delta t \sum_{i=1}^n P((n-i)\Delta t) \cdot h(i\Delta t) . \quad (4)$$

The upper limit equals n because $P = 0$ for $t \leq 0$. Thinking of V and h as vectors, the notation becomes

$$\vec{V} = \Delta t \cdot \vec{Ph} , \quad (5)$$

where

$$P = \left(\begin{array}{ccccc} P(\Delta t) & 0 & 0 & \dots & 0 \\ P(2\Delta t) & P(\Delta t) & 0 & \dots & 0 \\ P(3\Delta t) & P(2\Delta t) & P(\Delta t) & \dots & 0 \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ P(n\Delta t) & P((n-1)\Delta t) & \dots & & P(\Delta t) \end{array} \right).$$

The problem of solving for $C(x)$ (by here solving for h) then becomes one of matrix inversion. The solution to equation (5) is

$$\vec{h} = (1/\Delta t) P^{-1} \vec{V} . \quad (6)$$

Equation (6) does not give satisfactory results² if V contains noise. This failure is probably because the form of $P(t)$ causes some large elements to appear in P^{-1} , giving too much significance to some small variation in V due to noise. It is not surprising that there is some difficulty related to dealing with P^{-1} . This difficulty is because the determinant of P is $[P(\Delta t)]^n$, which is very small since $P(\Delta t)$ is the first nonzero point of the transmitted pulse. One might easily expect this problem from another point of view; namely, since the convolution of C (eq. 2) will smooth out small bumps in C , the deconvolution of V using the same equation will badly exaggerate small bumps (noise).

²Dennis McGuire and Michael Conner, *The Deconvolution of Aerosol Backscattered Optical Pulses to Obtain System-Independent Aerosol Signatures*, Harry Diamond Laboratories HDL-TR-1944 (June 1981).

A way to avoid all such difficulty is to make the approximation that $P(t) = A \cdot \delta(t - t_0)$, where A is a normalization constant and t_0 is the center of the transmitted pulse. Then equation (2) immediately yields

$$C \left[\frac{c(t - t_0)}{2} \right] = \frac{v(t)}{K \cdot A \cdot R \left[\frac{c(t - t_0)}{2} \right]} . \quad (7)$$

This discards all information about the shape of the transmitted pulse, thus reducing the resolution in $C(x)$ to about 1 to 2 m since the transmitted pulse has a full width at half maximum (FWHM) of about 6 ns.

2. DESCRIPTION OF METHOD

In this investigation, equation (7) is used as a first approximation to $C(x)$. Since one desires a cloud signature with better than the 1- to 2-m resolution achieved by using equation (7), some effort is then made to modify $C(x)$ to find a more accurate cloud signature. In this discussion, a more accurate (or "better") cloud signature refers to a signature with a smaller error, where error is defined as follows: Insert the cloud signature currently being considered into equation (2) to calculate the return pulse (v_c , subscript c for calculated) that would result from that signature. Compare that with the measured return pulse (v_m) defining

$$\text{error} = \int [v_m(t) - v_c(t)]^2 dt . \quad (8)$$

Various functions (as discussed later) are tried for $C(x)$, and the $C(x)$ that gives the smallest error is recorded as the extracted cloud signature.

Now, equation (6) immediately gives the cloud signature with error = 0, but that signature is noise dominated nonsense.² Here, then, one is not seeking the absolute minimum in the error (which would be zero), but rather one seeks a relative minimum in error by varying $C(x)$ in some gentle way about the δ (delta) extracted (eq. 7) first guess.

The following measures are available to prevent the cloud signature from becoming wildly bumpy:

- a. Restrict how far the successive estimates of $C(x)$ can vary from the original δ extracted $C(x)$.

²Dennis McGuire and Michael Conner, *The Deconvolution of Aerosol Backscattered Optical Pulses to Obtain System-Independent Aerosol Signatures*, Harry Diamond Laboratories HDL-TR-1944 (June 1981).

b. Subject the return pulse, $V_m(t)$, to a low-pass filter.

c. Subject the final answer for $C(x)$ to a low-pass filter.

The severity of each of these restrictions is easily varied. It was hoped that with these restrictions a relative minimum in the error could be found associated with some reasonable cloud signature that would have the same gross properties as the delta extracted signature, but with sharper features. Before sample calculations were carried out, it was not clear whether restrictions and filtering (measures a to c) adequate to smooth out the noise would simultaneously doom resolution to worse than 1- to 2-m resolution of the delta function case. If filtering alone (b and c) can successfully suppress the noise in a given data bank while still allowing improved resolution (this would depend chiefly on the signal to noise ratio for that data), then one may consider using equation (6) after all, with the appropriate filtering of $V(t)$ and $C(x)$. On the other hand, if the a priori assumption that the desired cloud signature closely resembles the delta extracted signature is essential, then the method described below may be useful.

In the present method, the calculations are done by computer. The cloud signature, $C(x)$, is in digitized form with 100 values for C . These values are at 0.15-m intervals in distance (x), covering a total of 15 m. The first estimate of $C(x)$ is provided by the delta function method; that is, equation (7) is used for 100 values of t (corresponding to 100 values of x with $x = ct/2$). The various values of $C(x)$ are then varied in an effort to find a $C(x)$ with a smaller error (as defined above). The variation of C proceeds as follows: For a chosen initial value of Q ($Q > 1$), begin with the first point [$C(x_i) = 0.15$ m] and consider the following five possibilities:

a. Leave $C(x)$ unaltered.

b. Multiply $C(x_i)$ by Q .

c. Divide $C(x_i)$ by Q .

d. Multiply $C(x_i)$ by Q and decrease the next value, $C(x_{i+1})$, by the amount by which $C(x_i)$ increased.

e. Divide $C(x_i)$ by Q and increase $C(x_{i+1})$ by the amount by which $C(x_i)$ decreased.

(Choices d and e are motivated by the consideration that they leave the integrated return less changed; since the original guess had approximately the correct total energy, these possibilities are probably desirable.) One then takes whichever of the five resulting cloud signatures that has the lowest associated error and considers it to be the

current (or "new and improved") cloud signature. This variational method is then repeated for the next point and successive points through $C(x_{100}) = 15$ m. This constitutes one pass. If after any pass the error is smaller than it was at the beginning of the pass, it is deemed worthwhile to make another pass by using a smaller value of Q ,

$$Q_{\text{new}} = (nQ + 1)/(n + 1), n > 0,$$

to achieve finer variations in $C(x)$. The flow chart and coding for the computer program that carries out this procedure are shown in appendices A and B, respectively. The values for n and the initial Q can be specified as desired for each computer run. The particular values of Q_1 ($= Q_{\text{initial}}$) and n that were used to generate the examples in this report are given in appendix C. The cloud signature is constrained to vary only within a certain region because no value of C could be multiplied or divided by more than approximately $Q_1 \cdot Q_2 \cdot Q_3 \cdots Q_n$, and this product has a finite value depending on Q_1 ($= Q_{\text{initial}}$) and n (see app D).

In this way, $C(x)$ varies until some relative minimum in the error is found. When a pass is executed (one Q , all 100 points) with no decrease in the error, the process is stopped and the cloud signature is recorded.

3. RESULTS

The characteristics of the signature found by this method depend critically on the signal to noise ratio of the return signal, $V(t)$, as the following examples show.

3.1 Case I

An idealized cloud with the backscatter coefficient proportional to the extinction coefficient³ and extinction coefficient profile as shown in figure 1 results in the cloud signature shown in figure 2. Here one assumes^{1,2}

$$C(x) = \mu(x)e^{-2 \int_0^x \sigma(s) ds}.$$

¹H. H. Burroughs, Computation of Cloud Backscatter Power as a Function of Time for an Active Optical Radar (U), Naval Weapons Center NWC TP 5090 (April 1971). (CONFIDENTIAL)

²Dennis McGuire and Michael Conner, The Deconvolution of Aerosol Backscattered Optical Pulses to Obtain System-Independent Aerosol Signatures, Harry Diamond Laboratories HDL-TR-1944 (June 1981).

³D. Diermendjian, Electromagnetic Scattering on Spherical Poly-dispersions, American Elsevier, New York (1969). The ratio of backscatter coefficient to extinction coefficient depends on the wavelength of the radiation and the aerosol particle size distribution. If the latter is a locally homogeneous property of the cloud, μ/σ (backscatter/extinction coefficients) will be constant.

The return pulse (calculated by a straightforward convolution, eq. 2) that would result from such a cloud was subjected to the present signature extraction algorithm. The algorithm includes filtering out high frequency bumps in the return pulse and signature. The resulting extracted signature (fig. 3) is relatively close to the actual signature and provides better resolution of the sharp peak than the delta function extracted signature (fig. 4).

Noise in the return signal becomes exaggerated on deconvolution. This exaggeration means that the signature extraction becomes less reliable as the signal to noise ratio decreases. To demonstrate this effect, the return pulse from the idealized cloud has been corrupted with noise and the signature extraction has been attempted again. The results for a signal to noise ratio of about 100 to 1 are shown in figures 5 and 6 and for a signal to noise ratio of about 40 to 1 are shown in figures 7 and 8. For a signal to noise ratio of 100 to 1, the initial rise and sharp peak are just slightly more accurate than the delta function was, but a large amount of noise has shown up in the exponentially decaying tail. For a signal to noise ratio of about 40 (fig. 6), the situation is worse.

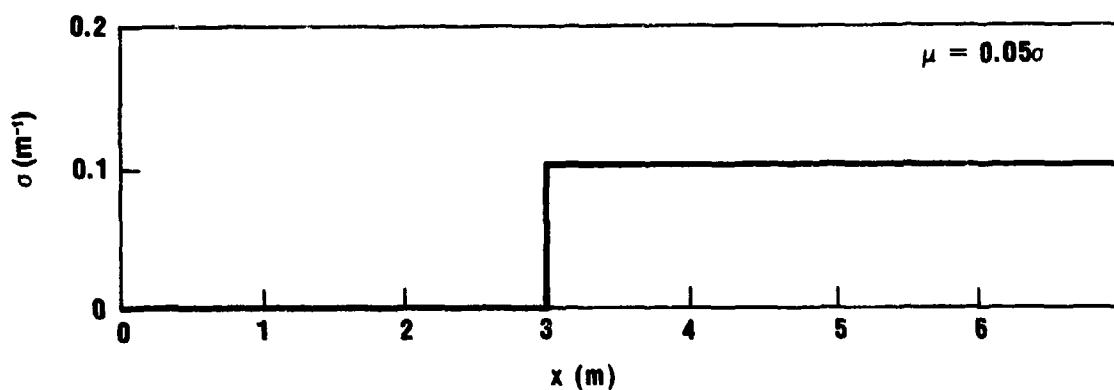


Figure 1. Case I: extinction coefficient.

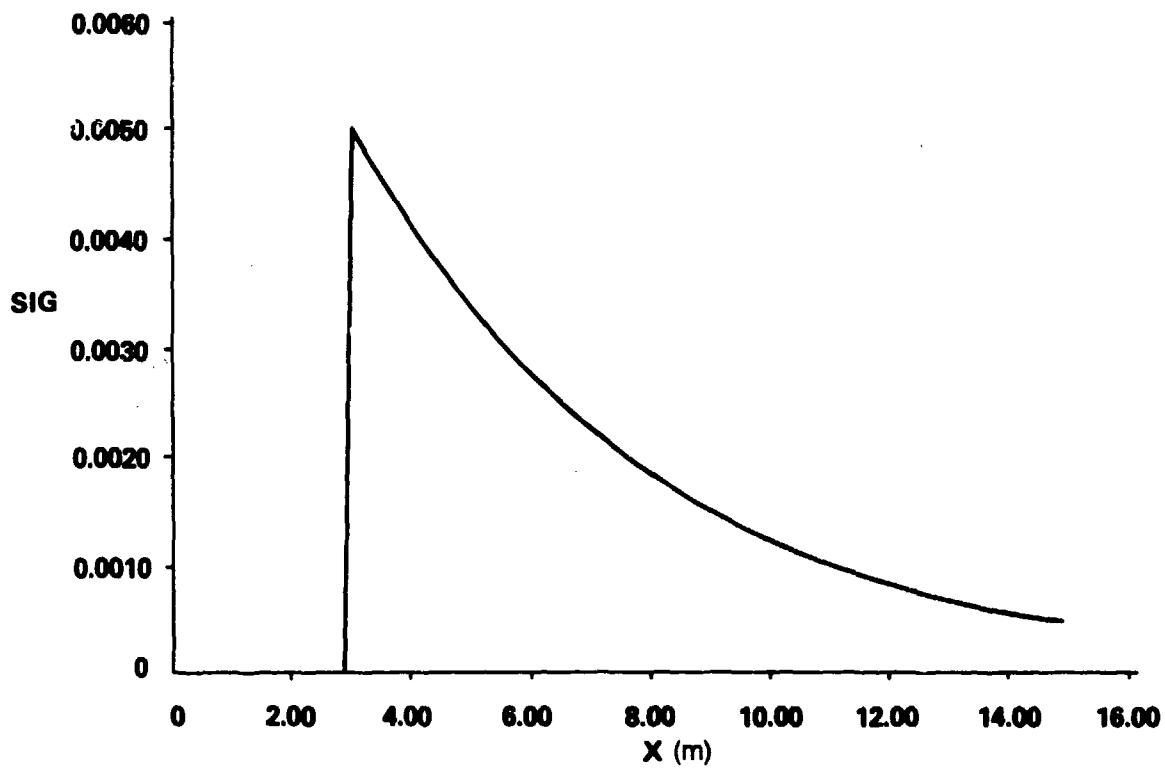


Figure 2. Case I: cloud signature.

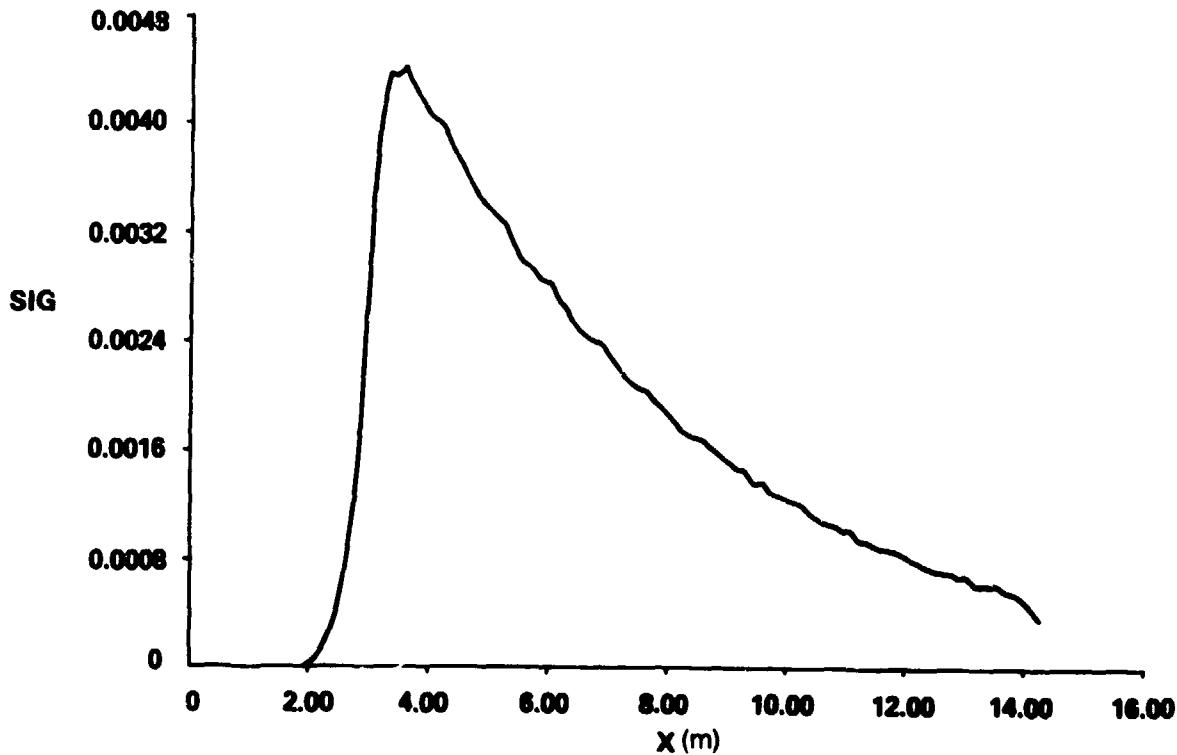


Figure 3. Case I: extracted cloud signature
(noiseless return pulse).

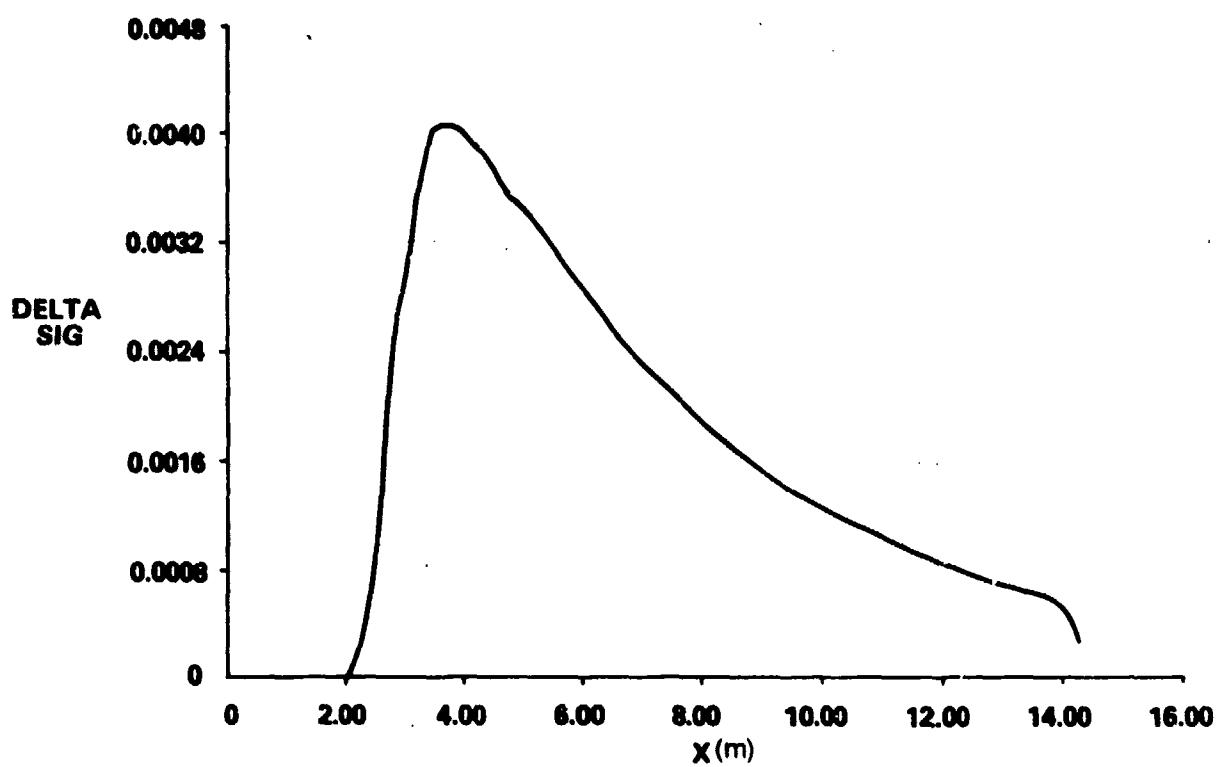


Figure 4. Case I: delta extracted cloud signature
(noiseless return pulse).

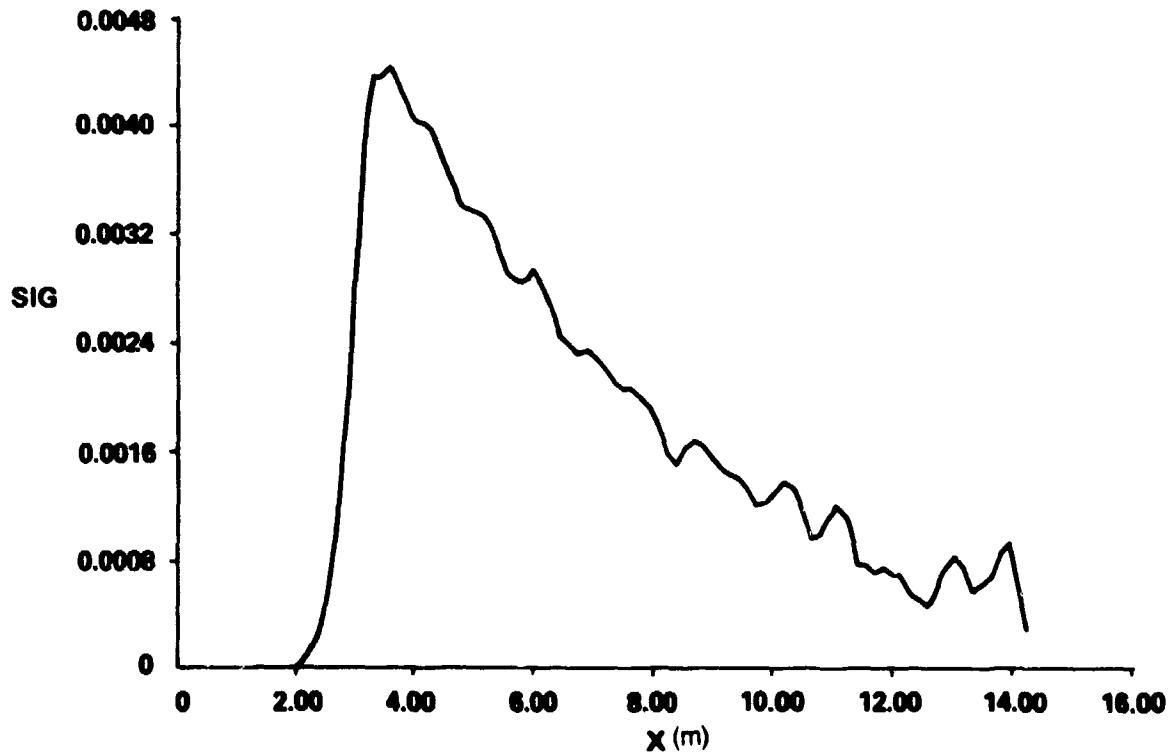


Figure 5. Case I: extracted cloud signature
(return pulse signal to noise ratio ~ 100).

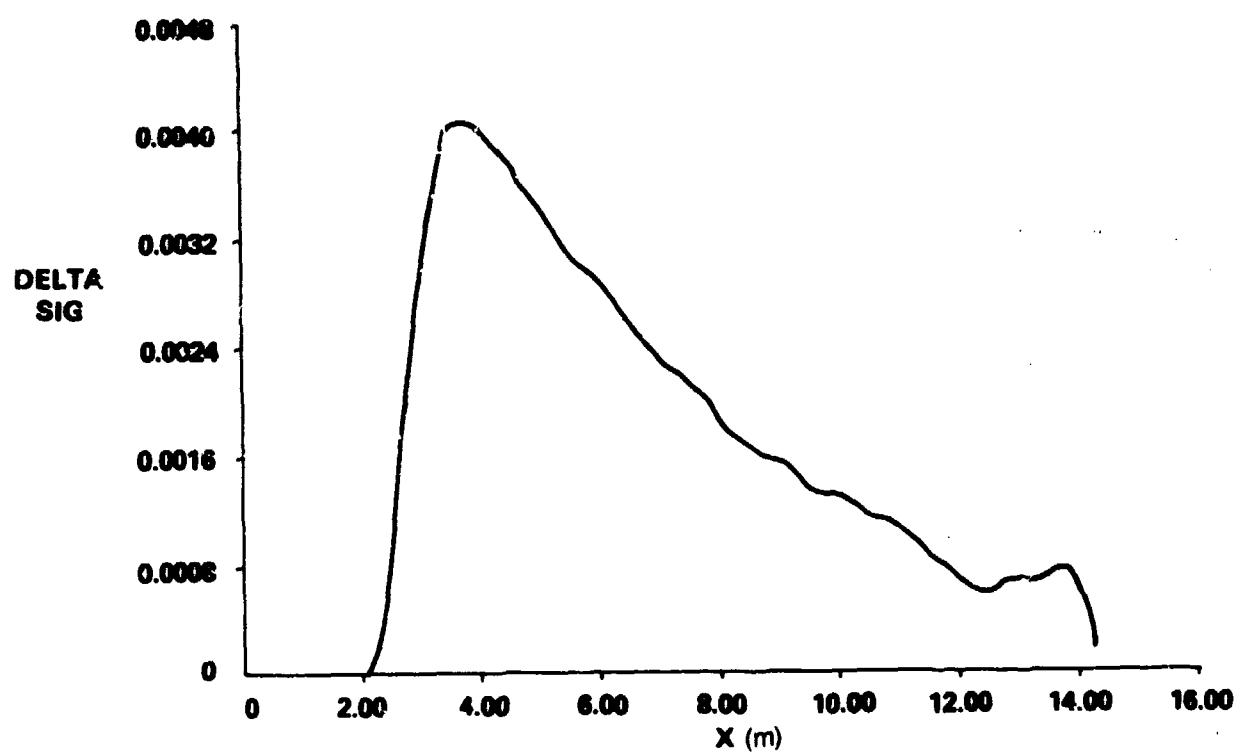


Figure 6. Case I: delta extracted cloud signature
(return pulse signal to noise ratio ~ 100).

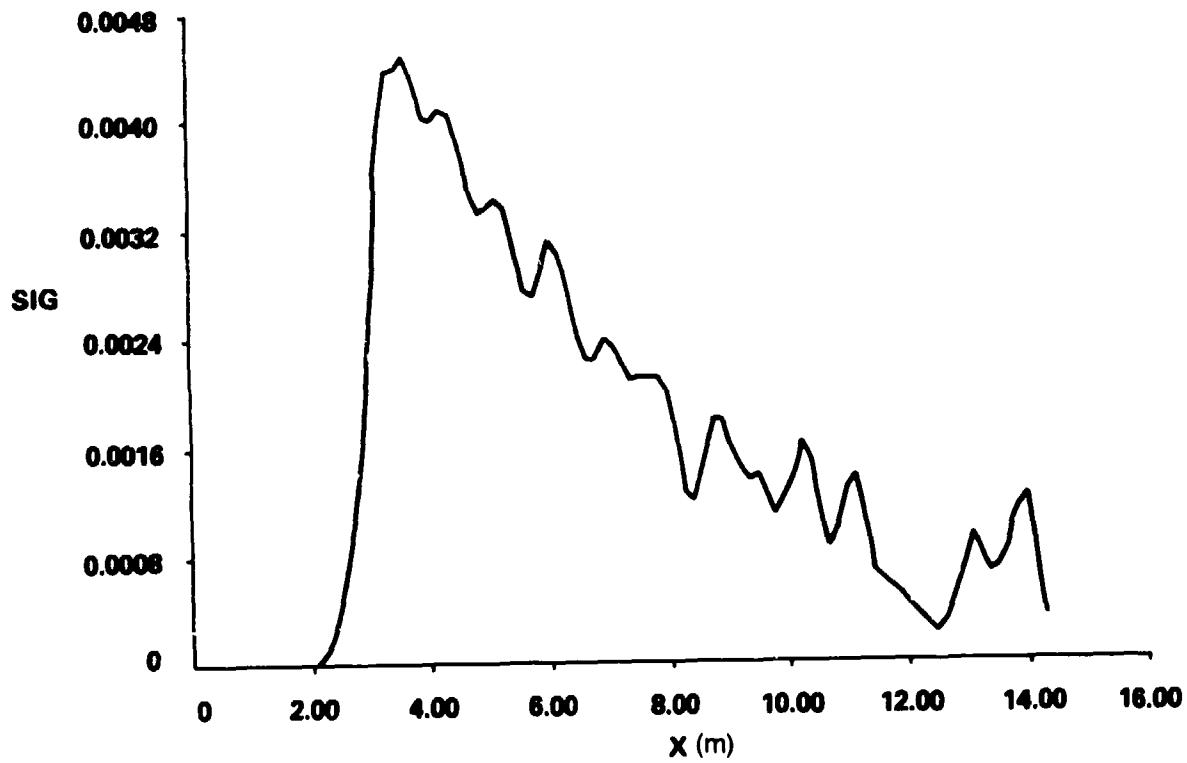


Figure 7. Case I: extracted cloud signature
(return pulse signal to noise ratio ~ 40).

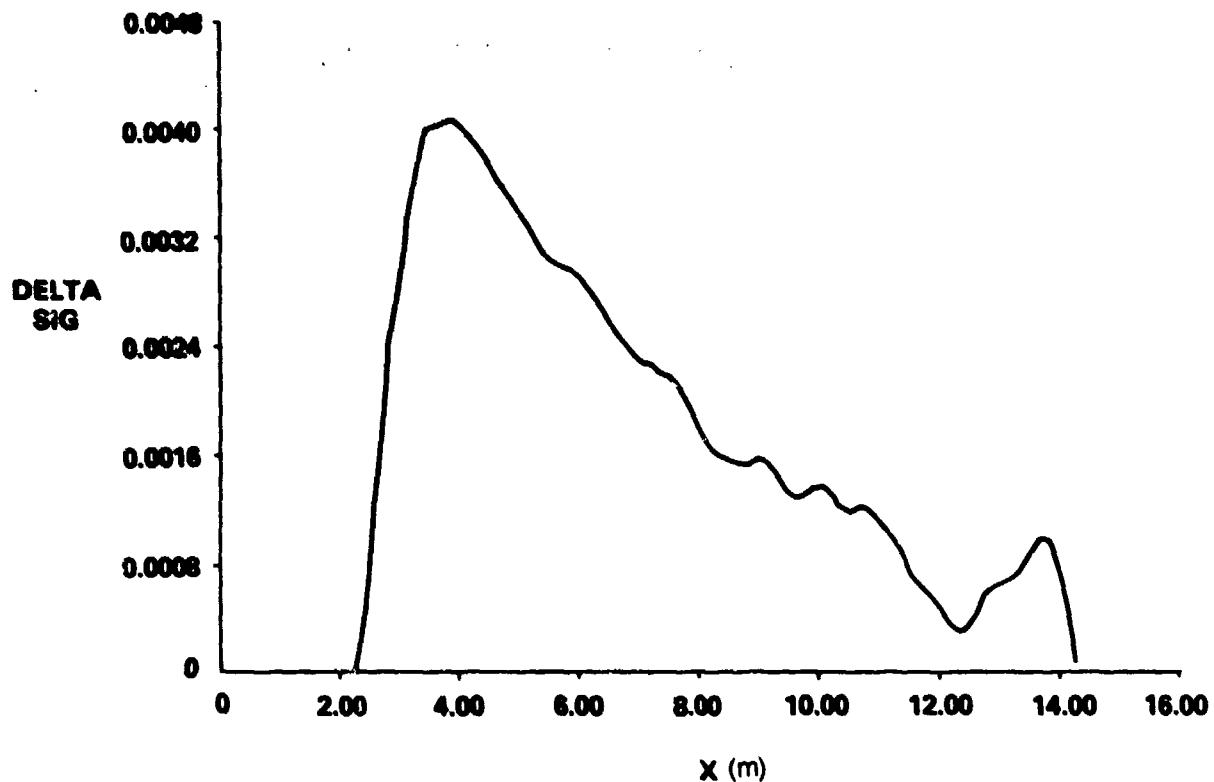


Figure 8. Case I: delta extracted cloud signature
(return pulse signal to noise ratio ~ 40).

3.2 Case II

Figures 9 to 16 follow the same format as figures 1 to 8, but for a different idealized cloud, case II. Here the improvement is less substantial because the case II cloud has a softer edge, so the delta extracted signature is closer to the correct signature.

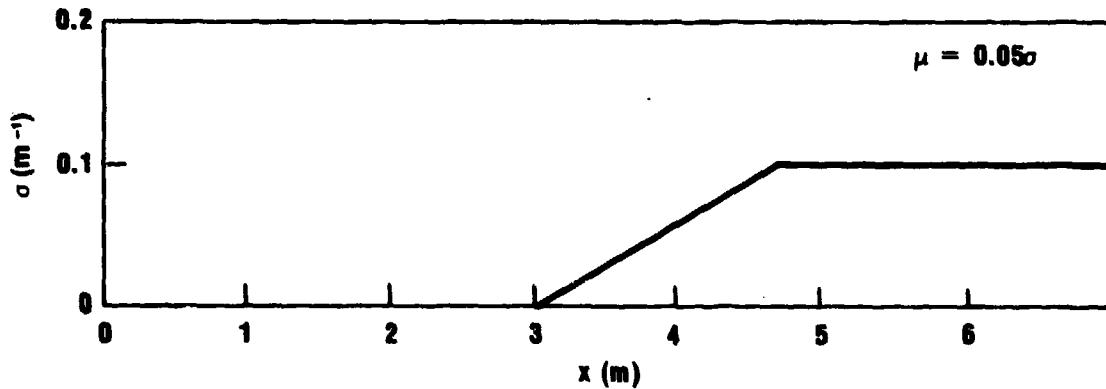


Figure 9. Case II: extinction coefficient.

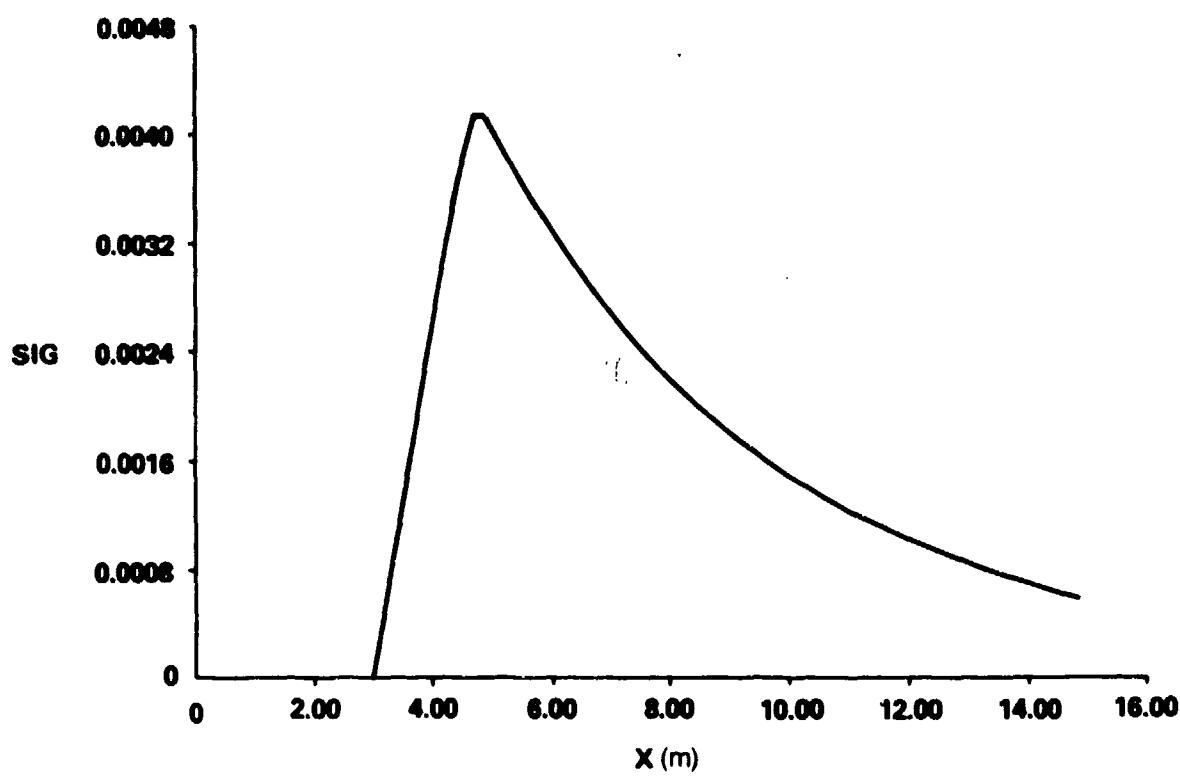


Figure 10. Case II: cloud signature.

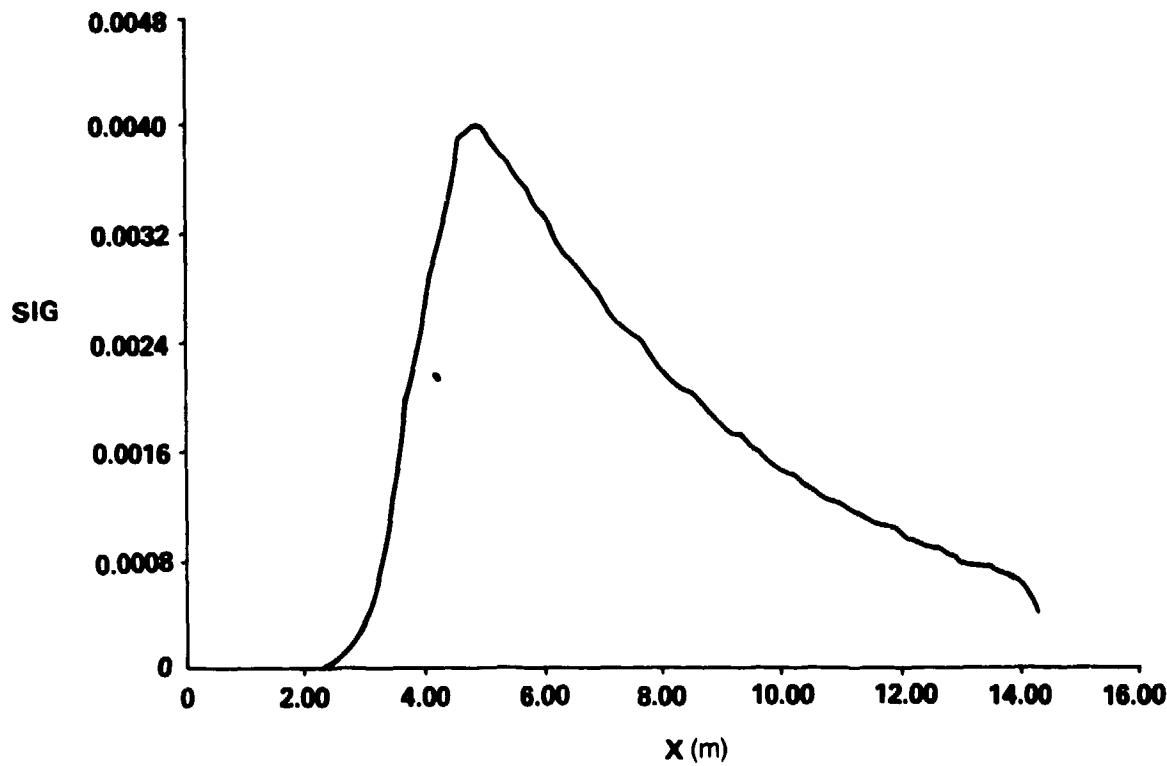


Figure 11. Case II: extracted cloud signature
(noiseless return pulse).

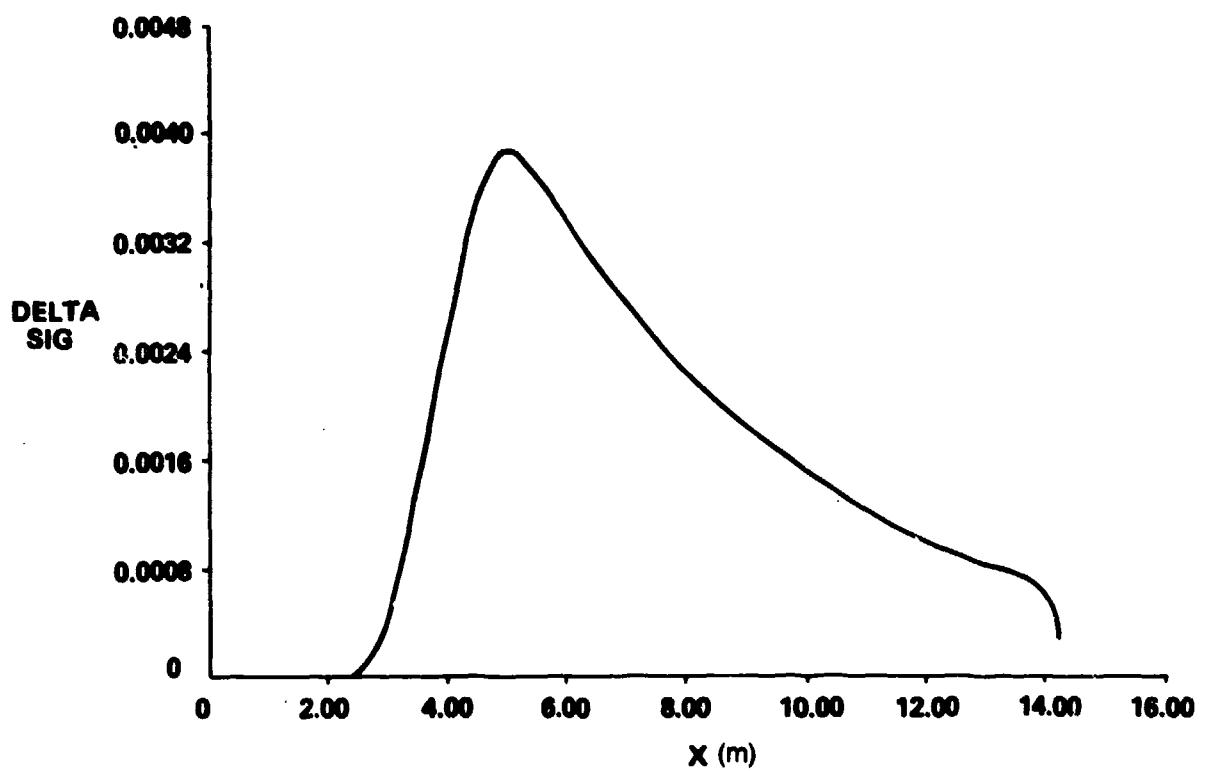


Figure 12. Case II: delta extracted cloud signature
(noiseless return pulse).

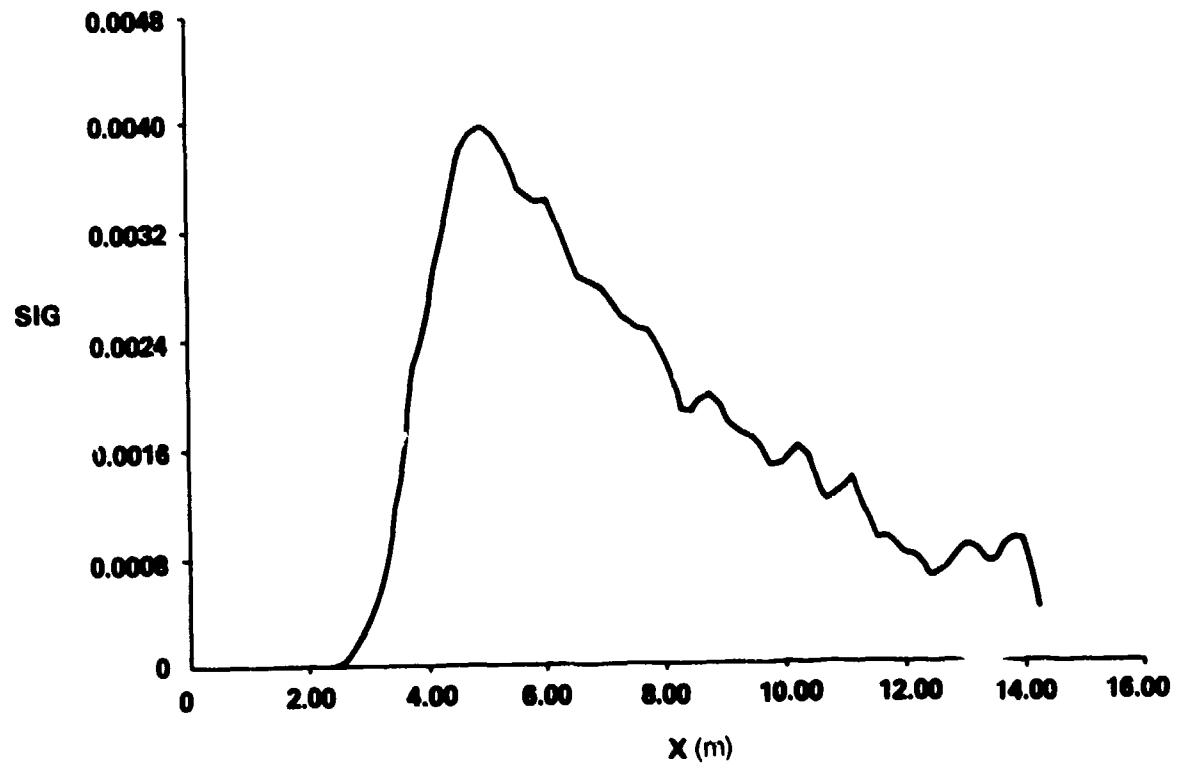


Figure 13. Case II: extracted cloud signature
(return pulse signal to noise ratio ~ 100).

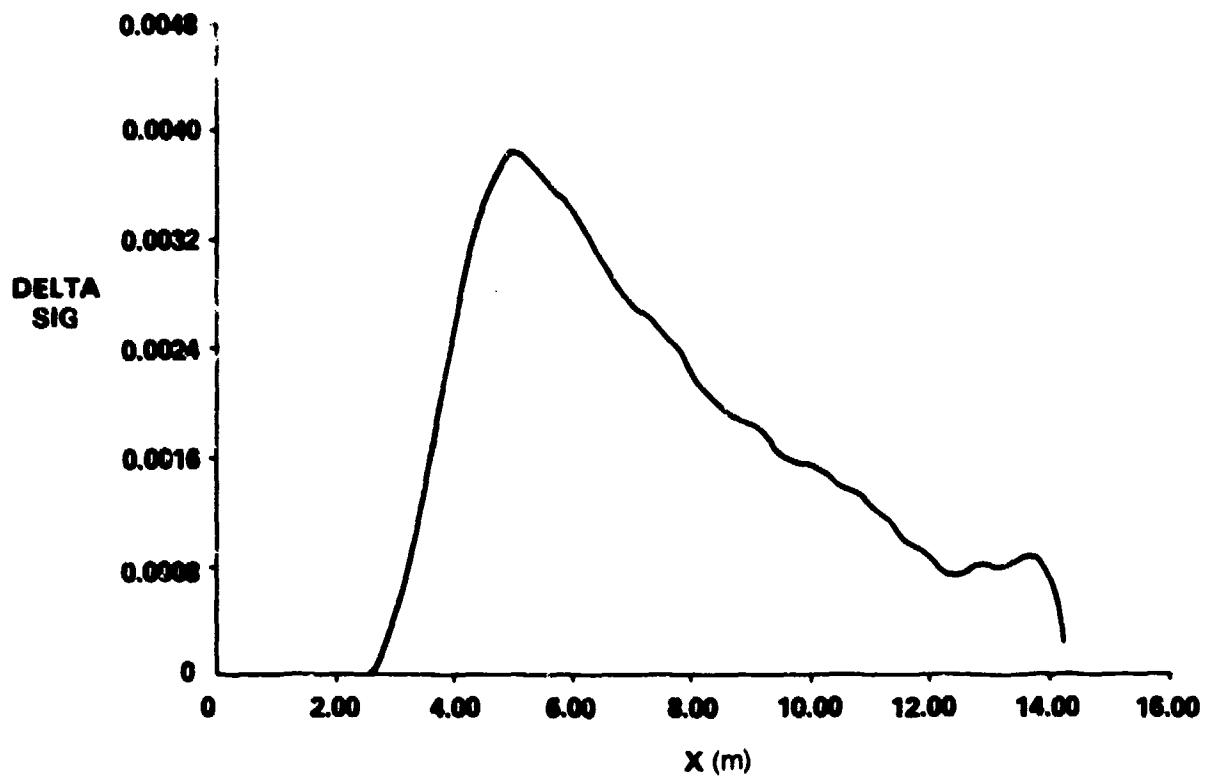


Figure 14. Case II: delta extracted cloud signature
(return pulse signal to noise ratio ~ 100).

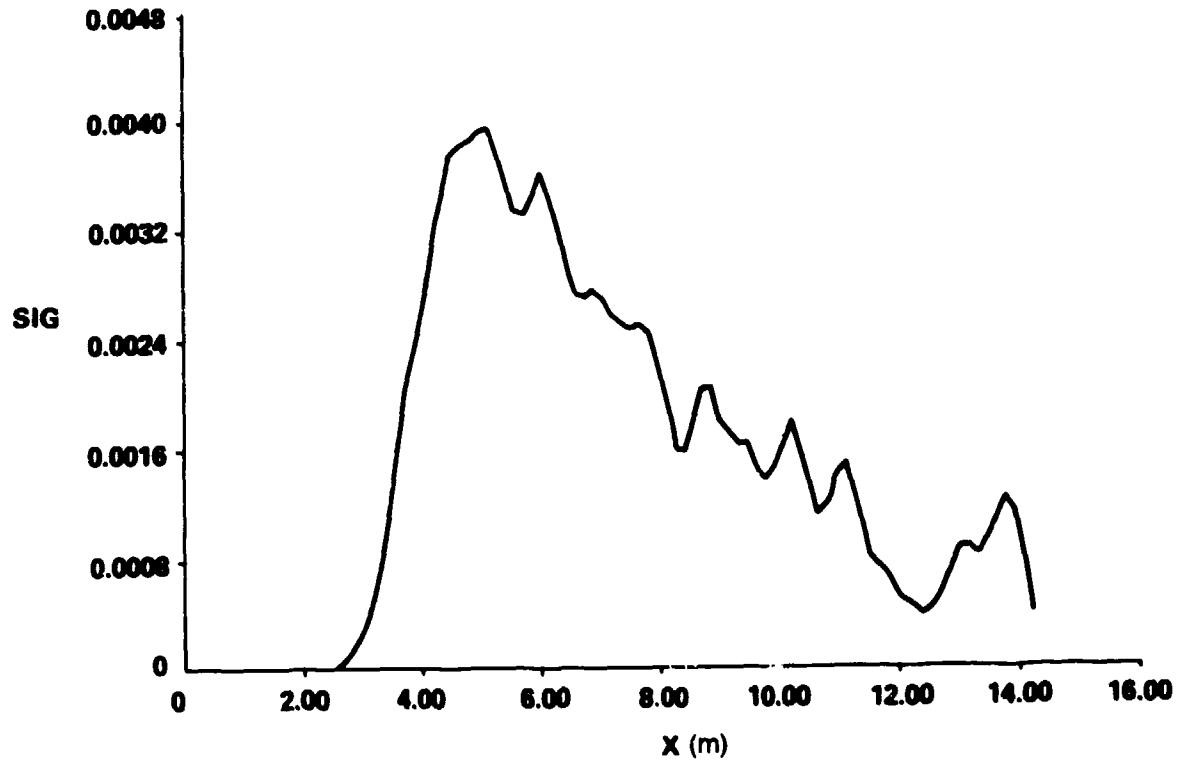


Figure 15. Case II: extracted cloud signature
(return pulse signal to noise ratio ~ 40).

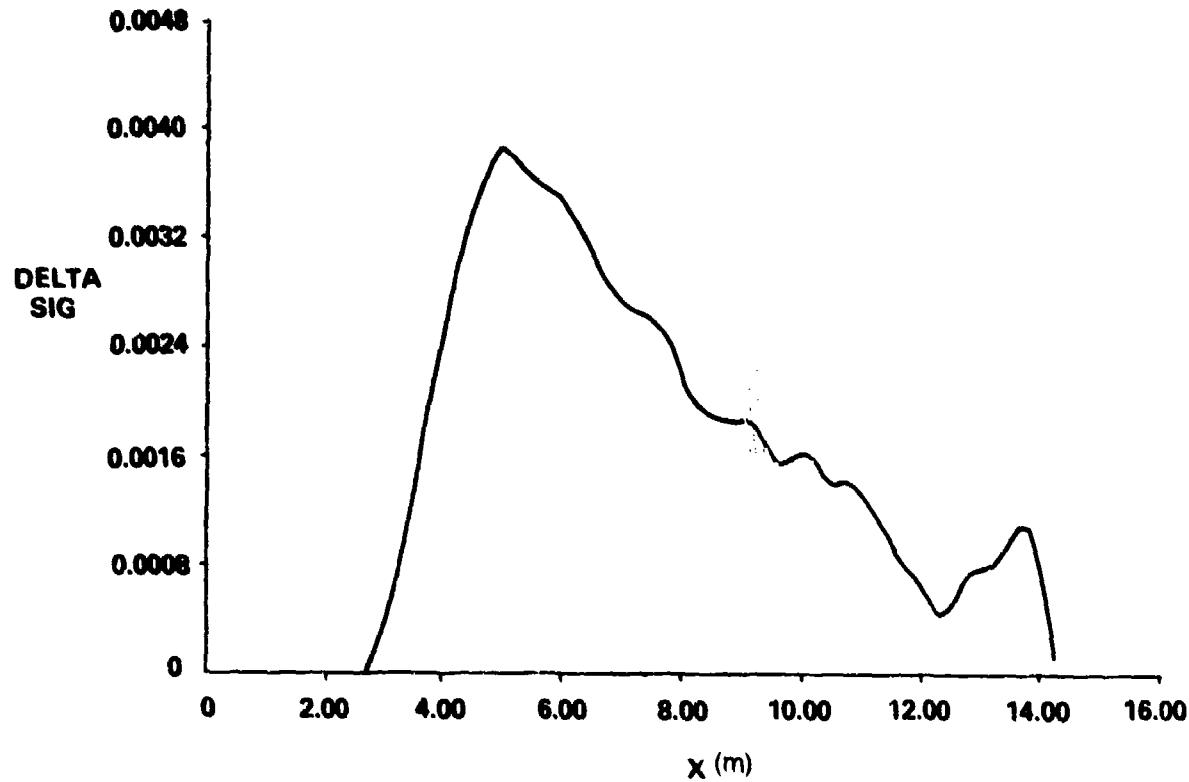


Figure 16. Case II: delta extracted cloud signature
(return pulse signal to noise ratio ~ 40).

4. CONCLUSIONS

If the data have a signal to noise ratio of about 100 to 1 or better, it is possible to achieve slightly better resolution in the cloud signature than the resolution that would be available from equation (7), dividing by the range response (delta function extraction). The extent of the improvement in the resolution depends on the signal to noise ratio. There is no evidence that restriction a (sect. 2) (limiting how far estimates of the cloud signature can vary from the delta function estimate) is essential to the reasonable behavior of the cloud signature. Low-pass filtering of the return pulse or resulting cloud signature probably is necessary. This necessity indicates that, with a signal to noise ratio greater than 100 to 1 and adequate filtering, perhaps equation (6) should be reconsidered as a method for solving this problem. It may be worthwhile to consider using a hybrid method by which equation (6) could be used to treat that portion of the return where the signal is high, while settling for the delta extracted signature where the signal is lower.

It was considered desirable to analyze a select portion of the measured data by using the present method. Because of a computer malfunction, this analysis has not been done. It is suggested that for each return pulse two estimates of the cloud signature be recorded, one using the algorithm discussed in this report and, for comparison, one using the delta function extraction. Unlike the test cases shown in the figures, the real data provide no answer key and, hence, it may not be clear which signature is more accurate. However, it may prove instructive to generate graphs of the two estimates for various pulses and to note the similarities and differences in the two.

Interestingly, McGuire² predicts that the signal to noise ratio should decrease by a factor of about 0.14 on deconvolution, with filtering of the return pulse as was done in the test cases. This compares the signal to noise ratio of the filtered return with that of the cloud signature. In the present study, the signal to noise ratio in the unfiltered return signal is compared with that of the cloud signature. Also, the cloud signature was smoothed here. For these two reasons, one expects the apparent increase in noise to be less than that predicted by McGuire.² The two test cases here show an increase in noise (compared with signal) on deconvolution by a factor of about three, roughly consistent with this expectation.

ACKNOWLEDGEMENTS

Thanks go to Dennis McGuire, Zoltan G. Sztankay, and Michael Conner for guidance and advice. The author thanks also the Scientific Services Program of the U.S. Army Research Office, administered by Battelle Columbus Laboratories, for the financial support of this work.

²Dennis McGuire and Michael Conner, *The Deconvolution of Aerosol Backscattered Optical Pulses to Obtain System-Independent Aerosol Signatures*, Harry Diamond Laboratories HDL-TR-1944 (June 1981).

**APPENDIX A.--FLOW CHART OF COMPUTER PROGRAM FOR CLOUD
SIGNATURE EXTRACTION**

A computer program was developed to deconvolve an optical return pulse in such a way as to minimize the effect of return signal noise. The flow chart for this computer program follows (fig. A-1).

ON COMPUTER:

$$V(0) = V_{in}, \quad C(0) = C_{in}, \quad R(0) = R_{in}$$

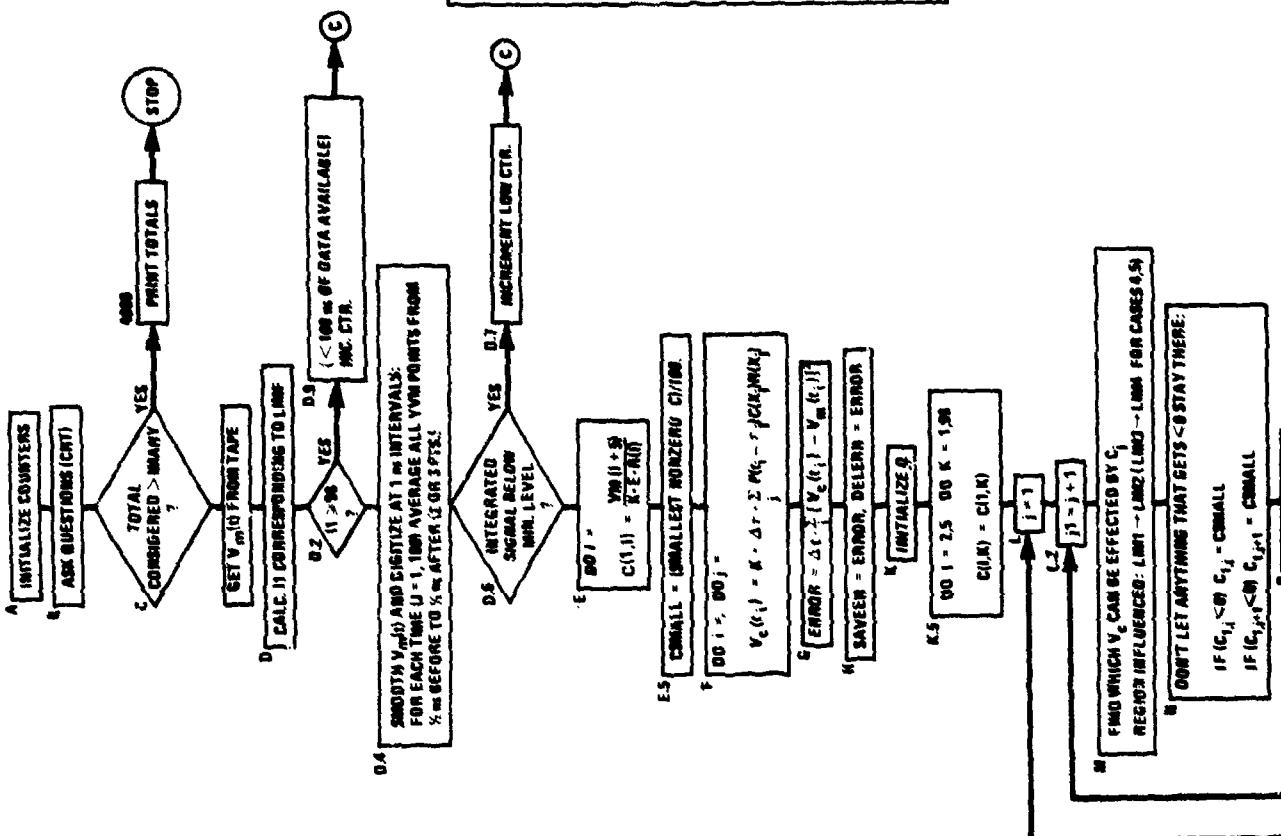
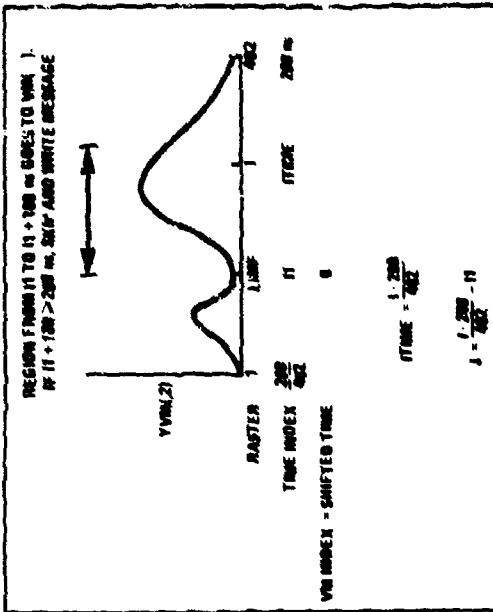
WHERE

$$t_1 = 1 \text{ sec} \quad X_1 = \frac{C_1}{2} = 0.5 \text{ in/mm}$$

ADJUST:

$$A = 10 - 11C_j$$

$M = 1: 100 \text{ CHANNELS (i.e. PREVIOUS RESULT)}$

$$\begin{aligned} 2: & C_j = C_j + A \\ 3: & C_j = C_j - A \\ 4: & C_j = C_j + A, \quad C_{j+1} = C_{j+1} - A \\ 5: & C_j = C_j - A, \quad C_{j+1} = C_{j+1} + A \end{aligned}$$


APPENDIX A

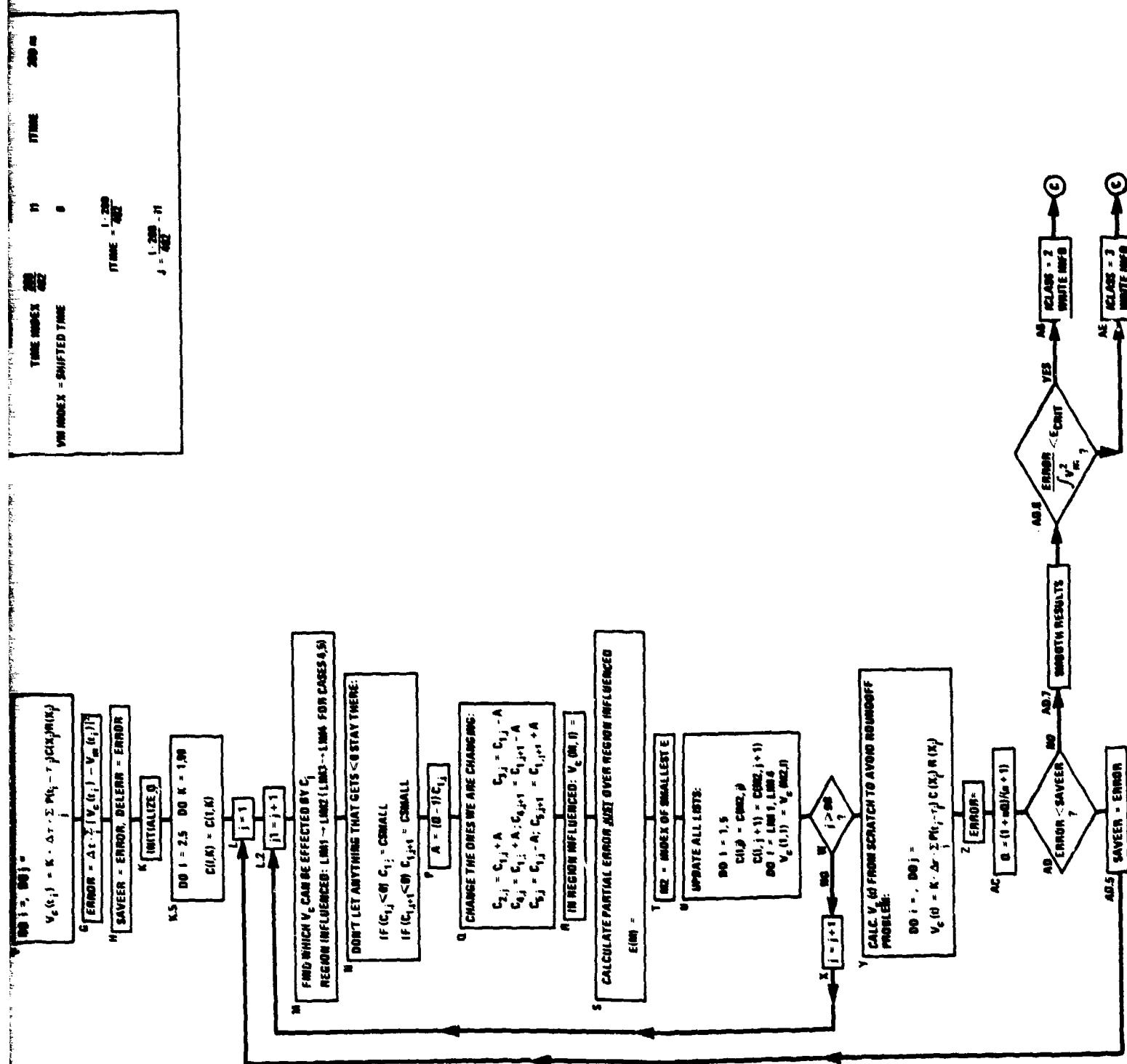


Figure A-1. Flow chart of computer program for cloud signature extraction.

APPENDIX B.--COMPUTER CODE FOR CLOUD SIGNATURE EXTRACTION

A computer program was developed to deconvolve an optical return pulse in such a way as to minimize the effect of return signal noise. A FORTRAN listing of this program follows.

APPENDIX B

```

1  SBATCH
2  CCC
3
4  C      VERSION: AUGUST 20, 1986
5  C      CLOUD SIGNATURE EXTRACTION
6
7  C
8  C
9  C
10 C
11 C
12 C*****C*****C*****C*****C*****C*****C*****C
13 C      ASK JIM GRIFFIN IF CALL
14 C      FOR "GETFR" HAS CHANGED.
15 C
16 C
17 C*****C*****C*****C*****C*****C*****C
18 C
19 C      WARNING:
20 C      THIS PROGRAM ASSUMES P=9 FOR T GE 13 NS (TO SAVE CPU TIME). IF THIS
21 C      PROGRAM IS USED WITH A TRANSMITTER PULSE THAT EXCEEDES 13 NS, THE SUM
22 C      LIMITS NEED TO BE MODIFIED.
23 C
24 C
25 C      SOME VARIABLES:
26 C      UM(I): (INPUT) MEASURED RETURN PULSE AT EACH NSEC (SHOULD BE SMOOTHED
27 C                  OVER ABOUT 1 OR 2 NSEC)
28 C      R(I): RANGE RESPONSE, X=IX.15 M (=CXT/R)
29 C      P(I): TRANSMITTER PULSE (EACH NSEC)
30 C      C(M,I): CLOUD SIGNATURE (VARIATION M), X=IX.15 M
31 C                  CLOUD SIGNATURE PRINTED IS FOR I=11 TO 98.
32 C      UC(M,I): RETURN PULSE AT EACH NSEC THAT WOULD RESULT FROM THIS SIGNATURE.
33 C                  (VARIATION M)
34 C      COMPARE IT WITH UM(I) TO SEE HOW WELL WE DID.
35 C
36 C      VARIATION M:
37 C      A=(0-1.)*C(J)
38 C      M=1: NO CHANGE (I.E. PREVIOUS RESULT)
39 C          2: C(J)=C(J)+A
40 C          3: C(J)=C(J)-A
41 C          4: C(J)=C(J)+A, C(J+1)=C(J+1)-A
42 C          5: C(J)=C(J)-A, C(J+1)=C(J+1)+A
43 C
44 COMMON /BR/TRASH(88),LIMN,LIMF
45 COMMON /FRAME/YUM(488,4),IFRAM1,IFRAM2
46 COMMON /UNITS/LUOUT
47 INTEGER*2 NAM1(9)
48 DIMENSION UC(5,100),UM(100),C(5,99),R(99),P(12),E(8),
49 CTMTRX(100),RASTER(488),CSHIFT(85),
50 CXSHIFT(85),CTEMP(85),UCPLOT(100),YPASS(482)
51 EQUIVALENCE (YUM(1,8),YPASS(1))
52 DATA P/0.44,3.60,4.96,5.68,5.88,5.50,4.58,3.20,1.70,0.68,0.27,0.10
53 C/
54 DATA R/1.,4.,10.,15.,24.,30.,31.,32.,33.,36.,55.,80.,105.,140.,
55 C175.,210.,250.,300.,350.,400.,450.,500.,550.,600.,650.,715.,
56 C825.,625.,610.,612.,608.,595.,575.,565.,550.,535.,520.,505.,
57 C495.,470.,455.,443.,431.,418.,402.,390.,377.,362.,350.,338.,
58 C385.,313.,308.,298.,288.,278.,263.,255.,245.,238.,230.,

```

APPENDIX B

APPENDIX B

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114      WRITE(IOUTBU,90203)
115      WRITE(IOUTBU,90202)
116      READ(INDU,90205)ETIME0
117      WRITE(IOUTDU,90205)
118      WRITE(IOUTDU,90205)
119      READ(INDU,90205)PLOTFL
120      IPLOTF=PLOTFL+.01
121      WRITE(IOUTDU,90108)
122      READ(INDU,90205)(NAME(I),I=1,8)
123      CALL OPENW(LUOUT,NAME,3,0,0,IST)
124      DELLT=1.E-9
125
126      C   FLOW CHART BOX C
127      C
128      300 ITOTCT=ITOTCT+1
129      IF(ITOTCT.GT.IPMNY)GO TO 4999
130      CALL GETFR(ISTAT,ITEMP,128,.1,0,98,98)
131      IF(ITEMP.GT.0.AND.ITEMP.LE.18)GO TO 4999
132      IF(ISTAT.NE.0)GO TO 5000
133
134      305 IF(IPLOTF.EQ.1)CALL SUBPLT(RASTER,482,'RASTER',8,
135      CYPASS,'RAU PULSE',8,IFRAM1)
136      C     MAKE PULSE RIGHT SIDE UP!
137      C
138      DO 310 I=1,482
139      YUM(I,2)=555.-YUM(I,2)
140      310 CONTINUE
141
142      C   FLOW CHART BOX D
143      C
144      FLIMP=LIMP
145      FI1=FLIMP*200./482.
146      FI1=FI1+.5
147      I1=FI1
148
149      C   FLOW CHART BOX D.2
150      C
151      IF(I1.GE.98)GO TO 499
152
153      C   FLOW CHART BOX D.4
154
155      FI1=I1
156      C   BELOW:
157      C     J IS UM INDEX = SHIFTED TIME IN MSEC.
158      C     K IS RASTER INDEX
159
160      DO 487 J=1,100
161      FJ=J
162      TEMP1=FJ-.2*ETIME1
163      TEMP2=FJ+.2*ETIME1
164      FLIM1=(TEMP1+FI1)*482./200.
165      FLIM2=(TEMP2+FI1)*482./200.
166      LIM1=FLIM1
167      LIM2=FLIM2
168      IF(LIM1.LT.LIMP)LIM1=LIMP
169      IF(LIM2.GT.482)LIM2=482
170      SUM=0.
171      FNORM=0.
172
173      TEMP4=FJ+FI1
174      DO 445 K=LIM1,LIM2
175      FK=K
176      TEMP3=ABS((TEMP4-FK*200./482.)/ETIME1)
177      TEMP7=EXP(-TEMP3)
178      SUM=SUM+YUM(K,2)*TEMP7
179      FNORM=FNORM+TEMP7
180
181      445 CONTINUE
182      UMF(J)=SUM/FNORM
183
184      487 CONTINUE
185

```

APPENDIX B

```

183 C FLOW CHART BOX D.6
184 C
185 C JIM GRIFFIN SUGGESTS AVOIDING THE LAST 14 RASTER
186 C POINTS TO ESTABLISH BASELINE.
187 C FIND BASELINE!
188 C TEMP=0.
189 C DO 462 I=448,467
190 C TEMP=TEMP+UM(I,2)
191 C 462 CONTINUE
192 C BASE=TEMP/20.
193 C SUBTRACT BASELINE
194 C DO 464 I=1,100
195 C UM(I)=UM(I)-BASE
196 C IF(UM(I).LT.0.)UM(I)=0.
197 C 464 CONTINUE
198 C SUM=0.
199 C DO 465 I=1,100
200 C SUM=SUM+UM(I)
201 C 465 CONTINUE
202 C IF(SUM.GE.SIGMIN)GO TO 500
203 C
204 C FLOW CHART BOX D.7
205 C
206 C LOWCTR=LOWCTR+1
207 C GO TO 300
208 C
209 C FLOW CHART BOX E
210 C
211 500 DO 520 I=1,95
212 C J=I+5
213 C C(1,I)=UM(J)/(FKKKK*3.657E-8*R(I))
214 C 520 CONTINUE
215 C DO 530 I=96,99
216 C LACKING ANY BETTER INFORMATION, TRY, FOR INITIAL VALUES:
217 C C(1,I)=C(1,95)
218 C 530 CONTINUE
219 C
220 C
221 CXXXXXXXXXX
222 CXXXXXX2XXX
223 CXXXXXX2XXX
224 CXXXXXX2XXX JIM GRIFFIN:
225 CXXXXXX2XXX HERE, WRITE TWO COPIES OF THE FRAME CODE
226 CXXXXXX2XXX (IFRAM1, IFRAM2) ON THE OUTPUT TAPE.
227 CXXXXXX2XXX ALSO, WRITE TO TAPE: C(1,I), I=11,95
228 CXXXXXX2XXX (THIS IS THE CLOUD SIGNATURE OBTAINED
229 CXXXXXX2XXX BY DIVIDING BY THE RANGE RESPONSE.)
230 CXXXXXX2XXX
231 C
232 C
233 C
234 C FLOW CHART BOX E.6
235 C
236 C DO 550 I=1,95
237 C IF(C(1,I).NE.0.)GO TO 550
238 C 550 CONTINUE
239 C 553 TEMP=C(1,I)

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APPENDIX B

```

240      DO 500 I=1,50
241      IF(C(1,I).NE.0..AND.C(1,I).LT.TEMP)TEMP=C(1,I)
242      500 CONTINUE
243      C$MALL=TEMP/100.
244
245      C   FLOW CHART BOX F
246
247      UC(1,1)=0.
248      DO 620 I=2,100
249      UC(1,I)=0.
250      ITEMP=I-18
251      IF(ITEMP.LT.1)ITEMP=1
252      LIM2=I-1
253      DO 620 J=ITEMP,LIM2
254      M=I-J
255      UC(1,I)=UC(1,I)+P(M)*C(1,J)*R(J)
256      620 CONTINUE
257      UC(1,I)=FICKICK*DELLT*UC(1,I)
258      630 CONTINUE
259
260      C   FLOW CHART BOX G
261
262      SUM=0.
263      DO 730 I=1,100
264      TEMP2=UC(1,I)-UM(I)
265      SUM=SUM+TEMP2*TEMP2
266      730 CONTINUE
267      ERROR=DELLT*SUM
268      C

```

APPENDIX B

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262 C FLOW CHART BOX N
263 C
264 C SAVEER=ERROR
265 C DELEAR=ERROR
266 C
267 C IF(IPIOTF.NE.1)GO TO 260
268 DO 240 I=1,35
269 K=I+10
270 CTEMP(I)=C(1,K)
271 240 CONTINUE
272 CALL SUBPLT(XSHIFT,35,'X',1,CTEMP,'DELTA SIG',3,IFRAME)
273 250 CONTINUE
274 C
275 C FLOW CHART BOX K
276 C
277 C Q=Q1
278 C
279 C FLOW CHART BOX K.B
280 C
281 C DO 1155 I=2,5
282 DO 1156 K=1,55
283 C(I,K)=C(1,K)
284 1155 CONTINUE
285 C
286 C FLOW CHART BOX L
287 C
288 1200 J=1
289 C
290 C FLOW CHART BOX L.E
291 C
292 1220 J1=J+1
293 C
294 C FLOW CHART BOX M
295 C
296 C LIM1=J+1
297 C LIM2=J+12
298 C LIM3=J+2
299 C LIM4=J+13
300 C IF(LIM2.GT.100)LIM2=100
301 C IF(LIM4.GT.100)LIM4=100
302 C
303 C FLOW CHART BOX N
304 C
305 C IF(C(1,J).LE.0.)C(1,J)=CSMALL
306 C IF(C(1,J1).LE.0.)C(1,J1)=CSMALL
307 C
308 C FLOW CHART BOX P
309 C
310 C R=(Q-1.)*C(1,J)
311 C
312 C FLOW CHART BOX Q
313 C
314 C
315 C
316 C
317 C
318 C
319 C
320 C
321 C
322 C
323 C
324 C
325 C

```

APPENDIX B

```

286      C    C(5,J1)=C(1,J1)+R
287      C    FLOW CHART BOX R
288      C
289      C    DO 1820 M=2,3
290      C    DO 1815 I=LIM1,LIM2
291      C    N=J-J
292      C    UC(M,I)=UC(I,I)+FLICKERDELLTNP(M)ZR(J)*C(M,J)-C(I,J)
293      C    1815  CONTINUE
294      C    UC(M,LIM4)=UC(I,LIM4)
295      C    1820 CONTINUE
296      C    DO 1840 M=4,5
297      C    UC(M,LIM1)=UC(I,LIM1)+FLICKERDELLTNP(I)ZR(J)*C(M,J)-C(I,J)
298      C    DO 1835 I=LIM3,LIM2
299      C    N=I-J
300      C    M=I-J1
301      C    UC(M,I)=UC(I,I)+FLICKERDELLT
302      C    C(P(N))ZR(J)*C(M,J)-C(I,J))+P(N1)ZR(J1)*C(M,J1)-C(I,J1))
303      C    1835  CONTINUE
304      C    UC(M,LIM4)=UC(I,LIM4)+FLICKERDELLTNP(1B)ZR(J1)*C(M,J1)-C(I,J1)
305      C    1840 CONTINUE
306      C
307      C    FLOW CHART BOX S
308      C
309      C    DO 1940 M=1,5
310      C    SUM=0.
311      C    DO 1935 I=LIM1,LIM4
312      C    TEMPR=UC(M,I)-UM(I)
313      C    SUM=SUM+TEMPS*TEMPS
314      C    1935  CONTINUE
315      C    E(M)=DELLT*SUM
316      C    1940 CONTINUE
317      C
318      C    FLOW CHART BOX T
319      C
320      C    L=2
321      C    M2=1
322      C    2010 DO 2020 I=L,5
323      C    IF(E(I).LT.E(M2))GO TO 2025
324      C    2020 CONTINUE
325      C    GO TO 2030
326      C    2025 M2=I
327      C    L=I+1
328      C    IF(L.GT.5)GO TO 2030
329      C    GO TO 2010
330      C    2030 CONTINUE
331      C
332      C    FLOW CHART BOX U
333      C
334      C    DO 2120 I=1,5
335      C    C(I,J)=C(M2,J)
336      C    C(I,J1)=C(M2,J1)
337      C    2120 CONTINUE
338      C    DO 2130 I=LIM1,LIM4
339      C    UC(I,I)=UC(M2,I)
340      C    2130 CONTINUE

```

APPENDIX B

```

383 C      FLOW CHART BOX W
384 C
385 C      2800 IF(J.GE.50)GO TO 2900
386 C
387 C      FLOW CHART BOX X
388 C
389 C      J=J+1
390 C      GO TO 1800
391 C
392 C      FLOW CHART BOX Y
393 C
394 C      2800 UC(1,1)=0.
395 C      DO 2820 I=2,100
396 C      UC(1,I)=0.
397 C      ITEMPI=I-1B
398 C      IF(ITEMPI.LT.1)ITEMPI=1
399 C      LIMIT=I-1
400 C      DO 2820 J=ITEMPI,LIMIT
401 C      M=I-J
402 C      UC(1,I)=UC(1,I)+P(M)*C(1,J)*R(J)
403 C      2820 CONTINUE
404 C      UC(1,I)=F1000RDELLT*UC(1,I)
405 C      2830 CONTINUE
406 C
407 C      FLOW CHART BOX Z
408 C
409 C      SUM=0.
410 C      DO 2840 I=1,100
411 C      TEMPI=UC(1,I)-UM(I)
412 C      SUM=SUM+TEMPI*TEMPI
413 C      2840 CONTINUE
414 C      ERROR=DELLT*SUM
415 C      GO TO 2900
416 C
417 C      FLOW CHART BOX AD
418 C
419 C      2800 ICCLASS=2
420 C      ICTR2=ICTR2+1
421 C      GO TO 3100
422 C
423 C
424 C      FLOW CHART BOX AC
425 C
426 C      2800 Q=(1.+PNBQ)/(PN+1.)
427 C
428 C      FLOW CHART BOX AD
429 C
430 C      IF(ERROR.GE.SAUEER)GO TO 3070
431 C
432 C      FLOW CHART BOX AD.5
433 C
434 C      SAUEER=ERROR
435 C      GO TO 1800
436 C
437 C      FLOW CHART BOX AD.7
438 C
439 C      3070 NO 3070 I=1,50

```

APPENDIX B

```

440      VUR(I,4)=C(I,2)
441      3078 CONTINUE
442      C
443      C      BELOW:
444      C      I=URINDEX=SHIFTED TIME
445      C
446      DO 3074 I=11,85
447      PI=I
448      TEMP1=PI-8.3ETIME0
449      TEMP2=PI+8.3ETIME0
450      LIM1=TEMP1
451      IF(LIM1.LT.1)LIM1=1
452      LINE=TEMP2
453      IF(LINE.GT.99)LINE=99
454      C(I,2)=0.
455      FNORM=0.
456      DO 3078 K=LIM1,LINE
457      FK=K
458      TEMP=ABS((PI-FK)/ETIME0)
459      C(I,K)=C(I,I)+VUR(K,4)*EXP(-TEMP)
460      FNORM=FNORM+EXP(-TEMP)
461      3078 CONTINUE
462      C(I,2)=C(I,2)/FNORM
463      3074 CONTINUE
464      C
465      C      FLOW CHART BOX AD.B
466      C
467      SUM=0.
468      DO 3085 I=1,100
469      SUM=SUM+UM(I)*UR(I)
470      3085 CONTINUE
471      ERRORA=ERROR/SUM
472      DELERA=DELERR/SUM
473      IF(ERRORA.LT.ECRIT)GO TO 3086
474
475      C
476      C      FLOW CHART BOX AE
477      C
478      ICLASS=3
479      ICTR3=ICTR3+1
480      3108 CONTINUE
481      IF(IPLOTF.NE.1)GO TO 3090
482      DO 3103 I=1,85
483      J=I+10
484      CSHIFT(I)=C(I,J)
485      3103 CONTINUE
486      CALL SUBPLT(XSHIFT,BB,'X',1,CSHIFT,'SIG',3,IFR
487
488      3105 CONTINUE
489      DO 3107 I=1,100
490      UCPLOT(I)=UC(I,I)
491      3107 CONTINUE
492      CALL SUBPLT(THATRN,100,'HREC',4,UCPLOT,'CALC.
493
494      RETURN',10,IPRINT)
495      3110 CONTINUE
496      CALL SUBPLT(THATRN,100,'HREC',4,UCPLOT,'CALC.
497      C4,WT,'READ. RETURN',10,IPRINT)

```

APPENDIX B

```
425      3800 CONTINUE
426      C
427      C
428      CCCCCCCCCC
429      CCCCCCCCCC
430      CCCCCCCCCC
431      CCCCCCCCCC JIM GRIFFING
432      CCCCCCCCCC HERE, WRITE TO TAPE: 0(1,1), 1=11,00
433      CCCCCCCCCC (THIS IS THE MODIFIED VERSION OF THE
434      CCCCCCCCCC CLOUD SIGNATURE.)
435      CCCCCCCCCC
436      CCCCCCCCCC
437      CCCCCCCCCC
438      CCCCCCCCCC
439      CCCCCCCCCC
440      CCCCCCCCCC
441      CCCCCCCCCC
442      CCCCCCCCCC
443      CCCCCCCCCC
444      CCCCCCCCCC
445      CCCCCCCCCC
446      CCCCCCCCCC
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500      CCCCCCCCCC
501      CCCCCCCCCC
502      CCCCCCCCCC
503      CCCCCCCCCC
504      CCCCCCCCCC
505      CCCCCCCCCC
506      CCCCCCCCCC
507      CCCCCCCCCC
508      CCCCCCCCCC
509      CCCCCCCCCC
510      CCCCCCCCCC
511      CCCCCCCCCC
512      CCCCCCCCCC
513      4000 CALL SCREEN
514      WRITE(IOUTDU,90301)IMANY
515      WRITE(IOUTDU,90303)IOUTCTR
516      WRITE(IOUTDU,90304)ICTR1
517      WRITE(IOUTDU,90305)ICTR2
518      WRITE(IOUTDU,90307)ICTR3
519      CCCCCCCCCC
520      CCCCCCCCCC
521      CCCCCCCCCC
522      CCCCCCCCCC
523      CCCCCCCCCC INSERT A CALL FFNN HERE.
524      CCCCCCCCCC
525      CCCCCCCCCC
526      CCCCCCCCCC
527      CCCCCCCCCC
528      CCCCCCCCCC
529      STOP
530      490 ICTRI=ICTRI+1
531      GO TO 300
532      4990 WRITE(IOUTDU,90550)ITEMP
533      STOP
534      CCCCCCCCCC
535      CCCCCCCCCC IF WE GET A BAD FRAME:
536      CCCCCCCCCC
537      5000 WRITE(IOUTDU,90551)ISTAT
538      CCCCCCCCCC
539      CCCCCCCCCC
540      CCCCCCCCCC
541      CCCCCCCCCC
542      CCCCCCCCCC
543      CCCCCCCCCC
544      CCCCCCCCCC
545      CCCCCCCCCC
546      CCCCCCCCCC
547      CCCCCCCCCC
548      CCCCCCCCCC
549      CCCCCCCCCC
550      CCCCCCCCCC
551      CCCCCCCCCC
```

APPENDIX B

```

552 90101 FORMAT(' ENTER CRITICAL ERROR.')          666      66602
553 90103 FORMAT(F15.3)
554 90105 FORMAT(' ENTER INITIAL VALUE FOR Q.')
555 90107 FORMAT(' ENTER N (WEIGHT FACTOR FOR Q DECREASE).')
556 90108 FORMAT(' ENTER OUTPUT TAPE DEVICE.')
557 90110 FORMAT(' ENTER INTEGRATED PULSE HEIGHT BELOW WHICH ',
558   C'SIGNAL SHOULD NOT BE PROCESSED.')
559 90115 FORMAT(' ENTER NUMBER OF PULSES TO BE PROCESSED.')
560 90221 FORMAT(' FOR FILTERING OF RETURN PULSE, ENTER '
561   C,'1/E TIME (IN NSEC)://DO NOT USE ZERO//'
562   C'SHOULD USE AT LEAST .E NSEC SO YOU AVG.//'
563   C'OVER MORE THAN ONE RASTER POINT.')
564 90223 FORMAT(' FOR FILTERING OF CLOUD SIGNATURE, ENTER '
565   C,'1/E DISTANCE (IN UNITS OF .15 METERS)://DO NOT USE ZERO')
566 90225 FORMAT(' ENTER 1. FOR PLOTS.')
567 90301 FORMAT(1X,1B,' RETURN PULSES WERE EXAMINED.')
568 90303 FORMAT(1X,1B,' OF THESE PULSES WERE BELOW THRESHOLD.')
569 90304 FORMAT(1X,1B,' OF THESE PULSES HAS LESS THAN 100 NSEC'
570   C'OF USEABLE RETURN.')
571 90305 FORMAT(1X,1B,' CLOUD SIGNATURES WERE SUCCESSFULLY EXTRACTED.')
572 90307 FORMAT(1X,1B,' CASES FAILED.')
573 90502 FORMAT(' USE DECIMAL POINT')
574 90505 FORMAT(F12.3)
575 90509 FORMAT(9A2)
576 90650 FORMAT(' ASSIGNMENT ERROR',1B,'FROM GETFR')
577 90651 FORMAT(' IF CODE = 136, FILE MARKER FOUND.'
578   C' ANY OTHER CODE MEANS TAPE ERROR.')
579   C' CODE = ',1B)
580   END
581   SUBROUTINE SUBPLT(PASS1,IPASS2,IPASS3,IPASS4,
582     CPASS5,IPASS6,IPASS7,IFRAME)
583     DIMENSION PASS1(1),IPASS3(4),IPASS6(4),PASS5(1)
584
585   C   VARIABLES PASSED:
586   C
587   C   1: X ARRAY NAME
588   C   2: S POINTS
589   C   3: 'X' LABEL'
590   C   4: S CHARACTERS IN LABEL
591   C   5: Y ARRAY NAME
592   C   6: 'Y' LABEL'
593   C   7: S CHARACTERS IN LABEL
594   C   IFRAME: FRAME CODE
595   CALL SCREEN
596   WRITE(1,905)IFRAME
597   CALL SCALE(PASS1,IPASS2,S,1,0)
598   CALL SCALE(PASS5,IPASS2,S,1,1)
599   CALL ENTGRA
600   CALL XAXIS(IPASS3,IPASS4,S,.)
601   CALL YAXIS(IPASS6,IPASS7,S,.)
602   CALL DATAG(PASS1,PASS5,IPASS2,1,1)
603   CALL EXITON
604   READ(1,903)IFRAME
605   RETURN
606   903 FORMAT(1I)
607   906 FORMAT(5X,'FNAME',1B)
608   END

```

APPENDIX C.--VALUES OF PARAMETERS USED IN POINT
WEIGHTING ALGORITHM

APPENDIX C

The return pulse was filtered by replacing each digitized value, $V(t_i)$, by a weighted average of the points in its neighborhood. The weight factor decreases exponentially as t moves away from t_i . The time (in nanoseconds) by which the weight factor has decreased to $1/e$ is called t_o . The filtering of the cloud signature is similar, except that the units for x_o are 0.15 m (corresponding to 1 ns).

The filtering values and the values for Q_1 and n used to generate the figures in the main body of this report were these:

Case I

$$t_o = 1.3 \text{ (= 1.3 ns)},$$

$$x_o = 1.2 \text{ (= 0.195 m)},$$

$$Q_1 = 1.2,$$

$$n = 2.$$

Case II

$$t_o = 1.3 \text{ (= 1.3 ns)},$$

$$x_o = 1.3 \text{ (= 0.195 m)},$$

$$Q_1 = 1.1,$$

$$n = 2.$$

**APPENDIX D.--CLOUD SIGNATURE RESTRICTIONS PRODUCED BY PARAMETERS
USED IN POINT WEIGHTING ALGORITHM**

APPENDIX D

As discussed in the main body of this report, the extent of variation of the cloud signature depends on the product of the Q_i 's. The author has not been able to write this product in terms of Q_1 and n in closed form, but some discussion and numerical results are given below.

Note: $1 < Q < 2$, $n > 0$, $0 < Z < 1$, $0 < \alpha < 1$.

Find

$$\prod_{i=1}^{\infty} Q_i = Q_1 \cdot Q_2 \cdot Q_3 \cdot Q_4 \dots , \quad (D-1)$$

where

$$Q_{i+1} = \frac{1 + nQ_i}{n + 1} . \quad (D-2)$$

Let

$$z_i = Q_i - 1 . \quad (D-3)$$

Then from equation (D-2) it follows that

$$z_{i+1} = \frac{n}{n+1} (z_i) = \alpha z_i , \quad (D-4)$$

$$\alpha = \frac{n}{n+1} . \quad (D-5)$$

Using equation (D-4) repeatedly shows that

$$z_i = \alpha^{i-1} z_1 \quad (D-6)$$

Back to the task at hand,

$$\prod_{i=1}^{\infty} Q_i = \prod_{i=1}^{\infty} (z_i + 1) , \quad (D-7)$$

$$\ln (\prod Q_i) = \ln [\prod (z_i + 1)] = \sum \ln (z_i + 1) , \quad (D-8)$$

$$\begin{aligned} \ln (\prod Q_i) &= \ln (1 + z_1) + \ln (1 + \alpha z_1) + \ln (1 + \alpha^2 z_1) \\ &\quad + \ln (1 + \alpha^3 z_1) + \dots \end{aligned} \quad (D-9)$$

Using

$$\ln (1 + x) = x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \frac{x^5}{5} \dots \text{ for } x^2 < 1 \text{ and } x = 1$$

in each term in equation (D-9) yields

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$$\begin{aligned}
 \ln(\prod Q_i) = & \left[z_1 - \frac{z_1^2}{2} + \frac{z_1^3}{3} - \frac{z_1^4}{4} + \frac{z_1^5}{5} \dots \right] \\
 & + \left[\alpha z_1 - \frac{(\alpha z_1)^2}{2} + \frac{(\alpha z_1)^3}{3} - \frac{(\alpha z_1)^4}{4} + \frac{(\alpha z_1)^5}{5} \dots \right] \\
 & + \left[\alpha^2 z_1 - \frac{(\alpha^2 z_1)^2}{2} + \frac{(\alpha^2 z_1)^3}{3} - \frac{(\alpha^2 z_1)^4}{4} + \frac{(\alpha^2 z_1)^5}{5} \dots \right] \\
 & + \left[\alpha^3 z_1 - \frac{(\alpha^3 z_1)^2}{2} + \frac{(\alpha^3 z_1)^3}{3} - \frac{(\alpha^3 z_1)^4}{4} + \frac{(\alpha^3 z_1)^5}{5} \dots \right] \\
 & + \dots \quad (D-10)
 \end{aligned}$$

Collecting like powers of z ,

$$\begin{aligned}
 \ln(\prod Q_i) = & z_1 \left[1 + \alpha + \alpha^2 + \alpha^3 + \dots \right] \\
 & - \frac{z_1^2}{2} \left[1 + \alpha^2 + \alpha^4 + \alpha^6 + \dots \right] \\
 & + \frac{z_1^3}{3} \left[1 + \alpha^3 + \alpha^6 + \alpha^9 + \dots \right] \\
 & - \frac{z_1^4}{4} \left[1 + \alpha^4 + \alpha^8 + \alpha^{12} + \dots \right] \\
 & + \dots \quad (D-11)
 \end{aligned}$$

Summing the algebraic series in each square bracket yields

$$\begin{aligned}
 \ln(\prod Q_i) = & z_1 \left[\frac{1}{1-\alpha} \right] - \frac{z_1^2}{2} \left[\frac{1}{1-\alpha^2} \right] + \frac{z_1^3}{3} \left[\frac{1}{1-\alpha^3} \right] \\
 & - \frac{z_1^4}{4} \left[\frac{1}{1-\alpha^4} \right] + \dots \quad (D-12)
 \end{aligned}$$

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These terms get very small very fast. The sum therefore can be approximated by the first few terms. The exponential of the sum then gives

$$\prod_{i=1}^{\infty} Q_i$$

Table D-1 was generated by computer. Using the first five terms of equation (D-12) gives the same results as the first 100 terms of equation (D-1) to the accuracy shown here.

TABLE D-1. NUMERICAL RESULTS FOR PRODUCT $\prod_{i=1}^{\infty} Q_i$

n	Q_1						
	1.4	1.3	1.2	1.1	1.05	1.02	1.01
0.5	1.70	1.50	1.32	1.16	1.08	1.03	1.02
1	2.04	1.73	1.46	1.21	1.10	1.04	1.02
2	2.95	2.29	1.76	1.34	1.16	1.06	1.03
3	4.25	3.04	2.14	1.48	1.22	1.08	1.04
4	6.13	4.02	2.58	1.63	1.28	1.10	1.05
5	8.84	5.32	3.13	1.79	1.34	1.13	1.06
10	55.1	21.6	8.12	2.92	1.72	1.24	1.12

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